

Information on data protection for users of the SAFE Data Room services (incl. WRDS platform)

1. Information on the processing of personal data

Name and contact details of the responsible organization	Leibniz-Institut für Finanzmarktforschung SAFE e.V., House of Finance, Theodor-W.-Adorno-Platz 3, 60323 Frankfurt am Main, Phone: +49 69 798-30080, Fax: +49 69 798-30077, E-Mail: info@safe-frankfurt.de
Contact details of the data protection officer	Dr. Dennis Voigt, UBG GmbH, Im Breitspiel 21, 69126 Heidelberg, E-mail: datenschutz@safe-frankfurt.de , Phone: +49 6221 1850170
Categories of personal data being processed	Data Room: E-mail address, first and last name, academic status, affiliation (SAFE or Goethe University); for reservation of work place: name of databases needed. WRDS platform: E-mail address, first and last name, name and e-mail address of supervisors (for Ph.D. students and research assistants), study program and expected graduation date (for students), name and length of research project (for research assistants and guest researchers), connection to the research project (for guest researchers)
Purposes of processing of the personal data	a. Provision of a data room with access to research databases for scholars of SAFE and Goethe University, b. Cost- and resource-effective implementation of the purposes mentioned under a. and b.
Legal basis for processing personal data for the purposes mentioned under a. to c.	a. The legal basis for this data processing is Article 6(1)(b) GDPR and, furthermore, Article 6(1)(f) GDPR. The legitimate interest of the responsible organization lies in the promotion of academic research and in the fulfilment of its statutory obligations. b. The legal basis for this data processing is Article 6(1)(f) GDPR. The legitimate interest of the responsible organization in disclosing data to the contract partner responsible for the hosting and maintenance of the IT infrastructure is the resulting cost- and resource-effective implementation of the purposes mentioned under a. to b.
Recipients or categories of recipients of the personal data	<ul style="list-style-type: none"> • Cooperation partner (university) • IT service provider
The period for which the personal data will be stored	Registrations to the SAFE Data Room expire automatically after six months; the data are then automatically deleted. WRDS registration: The data are deleted after the authorization of accessing the WRDS platform has been checked (max. one week).

2. Necessity of data collection

The provision of the data is required for the fulfillment of the requirements of the purposes mentioned under a. to c. Without this data, the responsible organization can not offer access to the Data Center services.

3. Consent

Insofar as the personal data of the affected person is processed based on a consent, **this consent can be revoked by the affected person at any time with effect for the future.** The withdrawal of consent does not affect the lawfulness of the data processing before the withdrawal.

4. Right of objection

According to Article 21 GDPR, the data subject has **the right to object with effect for the future**, on grounds relating to his or her particular situation, **at any time to processing** of personal data concerning him or her which is based on Article 6(1)(f).

The responsible organization shall then refrain from any further processing of the data unless it can provide compelling legitimate grounds for the processing which override the interests, rights and freedoms of the affected person, or unless the processing is necessary for the establishment, exercise or defense of legal claims.

5. Right to lodge a complaint with a supervisory authority

The affected person has the **right to lodge a complaint with a supervisory authority under Article 77 GDPR.** Without prejudice to any other administrative or judicial remedy, every affected person shall have the right to lodge a complaint with a supervisory authority, in particular in the EU- or EEA-Member State of his or her habitual residence, place of work or place of the alleged infringement if he or she considers that the processing of personal data relating to him or her infringes the GDPR.

For the responsible organization the following data protection supervisory authority is responsible:

The Hessian Commissioner for Data Protection and Freedom of Information
PO Xox 3163
65021 Wiesbaden
Online complaint form: <https://datenschutz.hessen.de/service/beschwerde>

Any affected person is welcome to contact us first. As we all know from experience, many things can be clarified by a phone call.

6. Rights of the affected person

The affected person has the following rights and claims under the GDPR against the responsible organization:

- Right of **access** (Article 15 GDPR)
- Right to **rectification** (Article 16 GDPR)
- Right to **erasure** ('right to be forgotten') (Article 17 GDPR)
- Right to **restriction of processing** (Article 18 GDPR)
- Right to **data portability** (Article 20 GDPR)

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